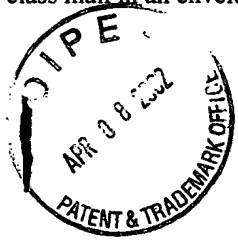


US APPLICATION NO. 09/890371		INTERNATIONAL APPLICATION NO. PCT/EP00/00598		ATTORNEY'S DOCKET NUMBER 266/034	
<div>21. <input type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... \$1000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00  ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 860.00	\$ 130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	- 20 =		x \$18.00	\$	
Independent claims	- 3 =		x \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 990.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 990.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				charged:	\$
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-2475</u> in the amount of \$ <u>990.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Suzanne L. Biggs Lyon & Lyon 633 West Fifth Street, Suite 4700 Los Angeles, CA 90071					
				SIGNATURE	
				NAME	Suzanne L. Biggs
					30, 158
				REGISTRATION NUMBER	

Certificate of Mailing

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on March 29, 2002.



By: Suzanne L. Simpson JC02 Rec'd PCT/PTO 08 APR 2002  
09/890371

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

COMPLETION Under  
Rule 53(f)

In re PATENT APPLICATION of  
Inventor(s): GREGOR CEVC et al  
Appln. No.: 09 890,371

Attn: Application Division

Series Code ↑	Serial No. ↑	Atty.Dkt.	P	0272496	M#	009848	Client Ref
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Filed: JULY 26, 2001  
Title: TRANSNASAL TRANSPORT/IMMUNISATION WITH HIGHLY ADAPTABLE CARRIERS

Hon. Commissioner of Patents  
Washington, DC 20231  
Date: March 28, 2002

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

- 1. Notice to File Missing Parts ☒ copy attached ☐ not yet received
- 2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and  
"X" box 2A only if top box of the Declaration is X'd and file application copy, or  
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
- 3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
  - a. ☐ Abstract
  - b. #  pages of Specification(only spec. & claims)
  - c. ☐ Drawing(s) No of Sheets   
☐ Fig(s).
- 4. ☐ Letter filing formal drawing attached.
- 5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) PCT/EP00/00598	01/26/2000	(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in \_\_\_\_\_

Application No.	Filing Date	Application No.	Filing Date
(1) 99101480.4	01/27/1999	(2)	
(3)		(4)	
(5)		(6)	

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / filed on \_\_\_\_\_

10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☒ Attached: Check in the amount of **\$1,380.00**; and return postcard.

12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee . . . . . Design Application				\$330/\$165		106/26
Not Design Application				\$740/\$370	<b>+PAID</b>	101/201
14. Total Effective Claims	53 ✓	minus 20 =	32	x \$18/\$9	<b>+576</b>	103/203
15. Independent Claims	4 ✓	minus 3 =	1	x \$84/\$42	<b>+84</b>	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	<b>+280</b>	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	<b>+PAID</b>	105/205
18. <b>FILING FEE ENCLOSED =</b>				<b>\$940.00</b>		
19. <b>Original due date: January 6, 2002</b>						
20. <b>Petition is hereby made to extend the original due date to</b>				(1 mo)	\$110/\$55 =	+0 115/215
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	<b>400.00</b> 116/216
is attached				(3mos)	\$920/\$460 =	117/217
				(4mos)	\$1,440/\$720 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee . . . . .				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee. . . . .				\$40	<b>+40.00</b>	581
23. Petition Fee for				\$130	+0	
<b>TOTAL FEE ENCLOSED =</b>				<b>1,380.00</b>		

04/12/2002 LLANDGRA 00000024 09890371

01 FC:966 576.00 OP  
~~02 FC:964~~ ~~84.00 OP~~  
 03 FC:968 280.00 OP  
 04 FC:116 400.00 OP

Adjustment date: 07/05/2002 MKAYPAGH  
 04/12/2002 LLANDGRA 00000024 09890371

~~02 FC:964~~ -84.00 OP

Our Deposit Account No. 03-3975

Our Order No. 009848

C#

027 2496

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP  
Intellectual Property Group**

11682 El Camino Real  
Suite 200 San Diego, CA 92130  
Tel: (619) 234-5000

By Atty: Suzanne L. BiggsReg. No. 30,158Sig: 

Fax: (858) 509-4010

Tel: (858) 509-4095

Atty/Sec: SLB/sls

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**